

UNITED STATES DISTRICT COURT  
DISTRICT OF OREGON

Civil Case No. 3:14-cv-00410-HU

James Clavijo, Individually and on Behalf of All  
Others Similarly Situated

**Plaintiff(s),**

**v.**

Galena Biopharma, Inc., Mark J. Ahn, Ryan M.  
Dunlap, and Mark W. Schwartz

**Defendant(s).**

APPLICATION FOR SPECIAL  
ADMISSION – *PRO HAC VICE*

Attorney James P. Sullivan requests special admission *pro hac vice* in  
the above-captioned case.

**Certification of Attorney Seeking *Pro Hac Vice* Admission:** I have read and understand the  
requirements of LR 83-3, and certify that the following information is correct:

**(1) PERSONAL DATA:**

Name: Sullivan James P.  
(Last Name) (First Name) (MI) (Suffix)  
Firm or Business Affiliation: King & Spalding LLP  
Mailing Address: 401 Congress Avenue, Suite 3200  
City: Austin State: TX Zip: 78701  
Phone Number: 512.457.2014 Fax Number: 512.457.2100  
Business E-mail Address: jsullivan@kslaw.com

(2) **BAR ADMISSIONS INFORMATION:**

(a) State bar admission(s), date(s) of admission, and bar ID number(s):

Texas Bar No. 24070702, admitted 2009;

District of Columbia Bar No. 975030, admitted 2007

(b) Other federal court admission(s), date(s) of admission, and bar ID number(s):

Supreme Court of the United States (2010); U.S. Court of Appeals for the D.C. Circuit (2008);

U.S. Court of Appeals for the Third Circuit (2012); U.S. Court of Appeals for the Fifth Circuit (2009);

U.S. Court of Appeals for the Federal Circuit (2008); U.S. District Court for the Western District of Texas (2011)

(3) **CERTIFICATION OF DISCIPLINARY ACTIONS:**

(a) ☒ I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association; or

(b) ☐ I am now or have been subject to disciplinary action from a state or federal bar association. (See attached letter of explanation.)

(4) **CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:**

I have professional liability insurance, or financial responsibility equivalent to liability insurance, that will apply and remain in force for the duration of the case, including any appeal proceedings.

(5) **REPRESENTATION STATEMENT:**

I am representing the following party(s) in this case:

Galena Biopharma, Inc.

Mark J. Ahn

Ryan M. Dunlap

Mark W. Schwartz

**(6) CM/ECF REGISTRATION:**

Concurrent with approval of this *pro hac vice* application, I acknowledge that I will become a registered user of the Court's Case Management/Electronic Case File system. (See the Court's website at [ord.uscourts.gov](http://ord.uscourts.gov)), and I consent to electronic service pursuant to Fed. R. Civ. P 5(b)(2)(E) and the Local Rules of the District of Oregon.

DATED this 31<sup>st</sup> day of March, 2014

  
(Signature of Pro Hac Counsel)

James P. Sullivan

(Typed Name)

**CERTIFICATION OF ASSOCIATED LOCAL COUNSEL:**

I certify that I am a member in good standing of the bar of this Court, that I have read and understand the requirements of LR 83-3, and that I will serve as designated local counsel in this particular case.

DATED this 2nd day of April, 2014

  
(Signature of Local Counsel)

Name: Rosenbaum, Lois O.

(Last Name)

(First Name)

(MI)

(Suffix)

Oregon State Bar Number: 773250

Firm or Business Affiliation: Stoel Rives LLP

Mailing Address: 900 SW Fifth Avenue, Ste. 2600

City: Portland

State: OR

Zip: 97204

Phone Number: 503.294.9293

Business E-mail Address: lorosenbaum@stoel.com

**COURT ACTION**

☐ Application approved subject to payment of fees.

☐ Application denied.

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\_\_\_\_\_  
Judge